The GW Approximation

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Ground-state properties

Properties that are intrinsic to a system with all its electrons in equilibrium.

- Density functional theory is the “standard model” for understanding ground-state properties.
- Total energy is a functional of the charge density.
- Kohn-Sham formulation: Map the interacting many-electron problem to non-interacting electrons moving in a self-consistent field.

\[
\left( -\frac{\nabla^2}{2} + V_{\text{ionic}}(r) + V_{\text{Hartree}}(r) + V_{\text{xc}}(r) \right)\psi(r) = \epsilon \psi(r)
\]
Ground-state properties

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\]

Local density approximation
Generalized gradient approximation
Ground-state properties

Crystal Structure\(^1\)

Phase transitions\(^1\)

Phonons\(^2\)

Charge density\(^1\)

---


Excited-state properties

Spectroscopic properties that involve experiments creating an excited particle above the ground state.
Excited-state properties

Spectroscopic properties that involve experiments creating an excited particle above the ground state.

**Light source**

**Detector**

http://www.arpes.co.uk
Excited-state properties

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\[ \hbar \omega \]

Band structure: 
\[ \epsilon_k = \hbar \omega - E_k \]

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Excited-state properties

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Excited-state properties - Quasiparticle gap

Direct Photoemission

\[ \hbar \omega \]

\[ \text{E}_N - \text{E}_{N+1} \]

Inverse Photoemission

\[ \hbar \omega \]

\[ \text{E}_{N-1} - \text{E}_N \]

- Quasiparticle band gap defined as

\[
E_g = \text{Ionization Energy} - \text{Electron Affinity} \\
= E_{N+1} + E_{N-1} - 2E_N
\]

- Different from Optical gap.
Kohn-Sham DFT works well for the ground state.
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• Kohn-Sham eigenvalues are Lagrange multipliers.
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■ Kohn-Sham eigenvalues are Lagrange multipliers.
■ Kohn-Sham eigenvalues – eigenvalues of a fictive system.
Ground-state methods for excited states

- Kohn-Sham DFT works well for the ground state.
- Kohn-Sham eigenvalues are Lagrange multipliers.
- Kohn-Sham eigenvalues – eigenvalues of a fictive system.
- Kohn-Sham energies cannot be interpreted as removal/addition energies (except the energy of the highest occupied molecular orbital in a finite system).
Excited-state properties

Materials:
InSb, InAs, Ge, GaSb, Si, InP, GaAs, CdS, AlSb, AlAs, CdSe, CdTe, BP, SiC, C$_{60}$, GaP, AlP, ZnTe, ZnSe, c-GaN, w-GaN, InS, w-BN, c-BN, diamond, w-AlN, LiCl, Fluorite, LiF

Quasiparticle Gap$^1$

Optical absorption$^2$

Excited-state properties

Materials:
InSb, InAs, Ge, GaSb, Si, InP, GaAs, CdS, AlSb, AlAs, CdSe, CdTe, BP, SiC, C$_{60}$, GaP, AlP, ZnTe, ZnSe, c-GaN, w-GaN, InS, w-BN, c-BN, diamond, w-AlN, LiCl, Fluorite, LiF

New Method for Calculating the One-Particle Green’s Function with Application to the Electron-Gas Problem*

LARS HEDIN†

Argonne National Laboratory, Argonne, Illinois

(Received 8 October 1964; revised manuscript received 2 April 1965)

A set of successively more accurate self-consistent equations for the one-electron Green’s function have been derived. They correspond to an expansion in a screened potential rather than the bare Coulomb potential. The first equation is adequate for many purposes. Each equation follows from the demand that a corresponding expression for the total energy be stationary with respect to variations in the Green’s function. The main information to be obtained, besides the total energy, is one-particle-like excitation spectra, i.e., spectra characterized by the quantum numbers of a single particle. This includes the low-excitation spectra in metals as well as configurations in atoms, molecules, and solids with one electron outside or one electron missing from a closed-shell structure. In the latter cases we obtain an approximate description by a modified Hartree-Fock equation involving a “Coulomb hole” and a static screened potential in the exchange term. As an example, spectra of some atoms are discussed. To investigate the convergence of successive approximations for the Green’s function, extensive calculations have been made for the electron gas at a range of metallic densities. The results are expressed in terms of quasiparticle energies \( E(k) \) and quasiparticle interactions \( f(k,k') \). The very first approximation gives a good value for the magnitude of \( E(k) \). To estimate the derivative of \( E(k) \) we need both the first- and the second-order terms. The derivative, and thus the specific heat, is found to differ from the free-particle value by only a few percent. Our correction to the specific heat keeps the same sign down to the lowest alkali-metal densities, and is smaller than those obtained recently by Silverstein and by Rice. Our results for the paramagnetic susceptibility are unreliable in the alkali-metal-density region owing to poor convergence of the expansion for \( f \). Besides the proof of a modified Luttinger-Ward-Klein variational principle and a related self-consistency idea, there is not much new in principle in this paper. The emphasis is on the development of a numerically manageable approximation scheme.
The GW approximation

L Hedin, Phys Rev 139, A769 (1965)

From Feliciano Giustino’s slides
Greens function

\[ G(r,t, r', t') = -i \langle \Psi^0_N | T[\hat{\psi}(r) \hat{\psi}^\dagger(r') ] | \Psi^0_N \rangle \]

| \Psi^0_N \rangle \text{ the exact } N \text{ electron ground state: } \hat{H}| \Psi^0_N \rangle = E^0_N | \Psi^0_N \rangle

the field operator (Heisenberg picture): \[ \hat{\psi}(r,t) = e^{i\hat{H}t} \hat{\psi}(r) e^{-i\hat{H}t} \]
Greens function

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\[
G(\mathbf{r}_t, \mathbf{r}'_{t'}) = \begin{cases}
-i \langle \Psi^0_N | T[\hat{\psi}(\mathbf{r}_t) \hat{\psi}^\dagger(\mathbf{r}'_{t'})] \rangle | \Psi^0_N \rangle & \text{when } t > t' \text{ (electron)} \\
i \langle \Psi^0_N | \hat{\psi}^\dagger(\mathbf{r}'_{t'}) \hat{\psi}(\mathbf{r}_t) | \Psi^0_N \rangle & \text{when } t < t' \text{ (hole)}
\end{cases}
\]
Greens function

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| \( \Psi^0_N \rangle \) the exact N electron ground state: \( \hat{H} | \Psi^0_N \rangle = E^0_N | \Psi^0_N \rangle \)

the field operator (Heisenberg picture): \( \hat{\psi}(r, t) = e^{i\hat{H}t} \hat{\psi}(r) e^{-i\hat{H}t} \)

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- i \langle \Psi^0_N | \hat{\psi}(r, t) \hat{\psi}^\dagger(r', t') | \Psi^0_N \rangle & \text{when } t > t' \text{ (electron)} \\
i \langle \Psi^0_N | \hat{\psi}^\dagger(r', t') \hat{\psi}(r, t) | \Psi^0_N \rangle & \text{when } t < t' \text{ (hole)} 
\end{cases} \\
= - i \langle \Psi^0_N | \hat{\psi}(r, t) \hat{\psi}^\dagger(r', t') | \Psi^0_N \rangle \theta(t - t') \\
+ i \langle \Psi^0_N | \hat{\psi}^\dagger(r', t') \hat{\psi}(r, t) | \Psi^0_N \rangle \theta(t' - t) \]
Lehmann (Spectral) representation of Greens function

Insert the completeness relation:

\[ 1 = \sum_{s,N} |\Psi_N^s \rangle \langle \Psi_N^s| \]
Lehmann (Spectral) representation of Greens function

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\[ 1 = \sum_{s,N} |\Psi^s_N\rangle \langle \Psi^s_N| \]

\[
G(r_t, r'_t') = -i\theta(t - t') \sum_s e^{i(E^0_N - E^s_{N+1})(t - t')} g_s(r) g^*_s(r') \\
+ i\theta(t' - t) \sum_s e^{i(E^0_N - E^s_{N-1})(t' - t)} f_s(r') f^*_s(r)
\]

with quasiparticle amplitudes:

\[
g_s(r) = \langle \Psi^0_N | \hat{\psi}(r_t) | \Psi^s_{N+1} \rangle \\
f_s(r) = \langle \Psi^s_{N-1} | \hat{\psi}(r_t) | \Psi^0_N \rangle
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Lehmann (Spectral) representation of Greens function

Insert the completeness relation:

$$1 = \sum_{s,N} |\Psi^s_N\rangle \langle \Psi^s_N|$$

$$G(\mathbf{r} \, t, \mathbf{r}' \, t') = -i\theta(t - t') \sum_s e^{i(E^0_N - E^s_{N+1})(t-t')} g_s(\mathbf{r}) g_s^*(\mathbf{r}')$$

$$+ i\theta(t' - t) \sum_s e^{i(E^0_N - E^s_{N-1})(t'-t)} f_s(\mathbf{r}') f_s^*(\mathbf{r})$$

with quasiparticle amplitudes:

$$g_s(\mathbf{r}) = \langle \Psi^0_N | \hat{\Psi}(\mathbf{r} \, t) | \Psi^s_{N+1} \rangle$$

$$f_s(\mathbf{r}) = \langle \Psi^s_{N-1} | \hat{\Psi}(\mathbf{r} \, t) | \Psi^0_N \rangle$$

Upon Fourier transforming:

$$G(\mathbf{r}, \mathbf{r}'; \omega) = \sum_s \frac{g_s(\mathbf{r}) g_s^*(\mathbf{r}')}{\omega - (E^s_{N+1} - E^0_N) + i\eta} + \sum_s \frac{f_s(\mathbf{r}) f_s^*(\mathbf{r}')}{\omega + (E^s_{N-1} - E^0_N) - i\eta}$$
Greens function – Spectral function

- Greens function contains spectral information on single-particle excitations *i.e.* changing the number of particles by one.
Greens function – Spectral function

- Greens function contains spectral information on single-particle excitations i.e. changing the number of particles by one.
- The poles of the Greens function give the corresponding excitation energies!
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- The poles of the Greens function give the corresponding excitation energies!

Spectral function

\[ A(r, r'; \omega) = \frac{1}{\pi} |ImG(r, r'; \omega)| \]

\[ = \sum_s g_s(r)g^*_s(r')\delta(\omega - (E^s_{N+1} - E^0_N)) \]

\[ + \sum_s f_s(r)f^*_s(r')\delta(\omega + (E^s_{N-1} - E^0_N)) \]
Physical interpretation of the Greens function

For $t > t'$, $\langle \Psi_N^0 | \hat{\psi}(r, t) \hat{\psi}^\dagger(r', t') | \Psi_N^0 \rangle$
probability amplitude that electron created at $(r', t')$ will go to $(r, t)$
Physical interpretation of the Greens function

For $t > t'$, $\langle \Psi_N^0 | \hat{\psi}(r_t) \hat{\psi}^\dagger (r'_t) | \Psi_N^0 \rangle$
probability amplitude that electron created at $(r', t')$ will go to $(r, t)$

For $t < t'$, $\langle \Psi_N^0 | \hat{\psi}^\dagger (r'_t) \hat{\psi}(r_t) | \Psi_N^0 \rangle$
probability amplitude that hole created at $(r, t)$ will go to $(r', t')$
Dyson equation

Many-body perturbation theory for calculating the Greens function.
Dyson equation

Many-body perturbation theory for calculating the Greens function.

\[ G^{-1} = G_0^{-1} + \Sigma \]
\[ G_{\text{DFT}}^{-1} = G_0^{-1} + V_{\text{xc}} \]
Dyson equation

Many-body perturbation theory for calculating the Greens function.

\[ G^{-1} = G_0^{-1} + \Sigma \]

\[ G_{\text{DFT}}^{-1} = G_0^{-1} + V_{\text{xc}} \]

Equivalently one can solve:

Quasiparticle equation:

\[ \left( -\nabla^2 + V_{\text{ionic}}(r) + V_{\text{Hartree}}(r) \right) \phi(r) + \int \Sigma(r, r', E_{\text{QP}}) \phi(r') = E_{\text{QP}} \phi(r) \]

Kohn-Sham equation:

\[ \left( -\nabla^2 + V_{\text{ionic}}(r) + V_{\text{Hartree}}(r) + V_{\text{xc}}(r) \right) \psi(r) = \epsilon \psi(r) \]
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Kohn-Sham equation:

\[ \left( -\nabla^2 + V_{\text{ionic}}(r) + V_{\text{Hartree}}(r) + V_{xc}(r) \right) \psi(r) = \epsilon \psi(r) \]

All interactions are included in the \( \Sigma \).

Self energy is non-local and energy dependent
Dyson equation

Many-body perturbation theory for calculating the Greens function.

\[
G^{-1} = G_0^{-1} + \Sigma \\
G_{DFT}^{-1} = G_0^{-1} + V_{xc}
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Equivalently one can solve:

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All interactions are included in the $\Sigma$.

Self energy is non-local and energy dependent.
REVIEW ARTICLE

On correlation effects in electron spectroscopies and the $GW$ approximation

Lars Hedin
Department of Theoretical Physics, Lund University, Sölvegatan 14A, 223 62 Lund, Sweden and
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Received 28 June 1999

Abstract. The $GW$ approximation (GWA) extends the well-known Hartree–Fock approximation (HFA) for the self-energy (exchange potential), by replacing the bare Coulomb potential $v$ by the dynamically screened potential $W$, e.g. $V_{ex} = iGv$ is replaced by $\Sigma_{GW} = iGW$. Here $G$ is the one-electron Green’s function. The GWA like the HFA is self-consistent, which allows for solutions beyond perturbation theory, like say spin-density waves. In a first approximation, $iGW$ is a sum of a statically screened exchange potential plus a Coulomb hole (equal to the electrostatic energy associated with the charge pushed away around a given electron). The Coulomb hole part is larger in magnitude, but the two parts give comparable contributions to the dispersion of the quasi-particle energy. The GWA can be said to describe an electronic polaron (an electron surrounded by an electronic polarization cloud), which has great similarities to the ordinary polaron (an electron surrounded by a cloud of phonons). The dynamical screening adds new crucial features beyond the HFA. With the GWA not only bandstructures but also spectral functions can be calculated, as well as charge densities, momentum distributions, and total energies. We will discuss the ideas behind the GWA, and generalizations which are necessary to improve on the rather poor GWA satellite structures in the spectral functions. We will further extend the GWA approach to fully describe spectroscopies like photoemission, x-ray absorption, and electron scattering. Finally we will comment on the relation between the GWA and theories for strongly correlated electronic systems. In collecting the material for this review, a number of new results and perspectives became apparent, which have not been published elsewhere.
The GW approximation

REVIEWS ARTICLE

On correlation effects in electron spectroscopies and the
GW approximation

Lars Hedin
Department of Theoretical Physics, Lund University, Sölvegatan 14A, 223 62 Lund, Sweden

Abstract. The GW approximation (GWA) extends the well-known Hartree–Fock approximation (HFA) for the self-energy (exchange potential), by replacing the bare Coulomb potential $v$ by the dynamically screened potential $W$, e.g. $V_{\text{ex}} = iGW$ is replaced by $\Sigma_{GW} = iGW$. Here $G$ is the one-electron Green’s function. The GWA like the HFA is self-consistent, which allows for solutions beyond perturbation theory, like say spin-density waves. In a first approximation, $iGW$ is a sum of a statically screened exchange potential plus a Coulomb hole (equal to the electrostatic energy associated with the charge pushed away around a given electron). The Coulomb hole part is larger in magnitude, but the two parts give comparable contributions to the dispersion of the quasiparticle energy. The GWA can be said to describe an electronic polaron (an electron surrounded by an electronic polarization cloud), which has great similarities to the ordinary polaron (an electron surrounded by a cloud of phonons). The dynamical screening adds new crucial features beyond the HFA. With the GWA not only bandstructures but also spectral functions can be calculated, as well as charge densities, momentum distributions, and total energies. We will discuss the ideas behind the GWA, and generalizations which are necessary to improve on the rather poor GWA satellite structures in the spectral functions. We will further extend the GWA approach to fully describe spectroscopies like photoemission, x-ray absorption, and electron scattering. Finally we will comment on the relation between the GWA and theories for strongly correlated electronic systems. In collecting the material for this review, a number of new results and perspectives became apparent, which have not been published elsewhere.
The GW approximation

Hartree-Fock Approximation

\[ \Sigma_x(r, r') = iG(r, r'; t)v(r - r') \]

\[ = - \sum_{occ} \psi_j^*(r) \psi_j(r')v(r - r') \]

GW Approximation

\[ \Sigma_{GW}(r, r') = iG(r, r'; t)W(r, r', t) \]

W: screened Coulomb interaction

Lars Hedin, Phys. Rev. 139, A796 (1965)
Photoemission process within Hartree-Fock

Additional charge $\rightarrow$ Independent particles.
No relaxation of the system.
Does not describe the physics in solids.

Adapted from slides by M. Gatti
Additional charge $\rightarrow$ polarization and screening. Polarization from non-interacting electron-hole pairs (RPA) Classical interaction between additional charge and induced charge. Describes the physics well.

Adapted from slides by M. Gatti
Quasiparticles

There is a polarization cloud around the particle which screens its interactions with the rest of the system.

Quasiparticles

Particle gets “dressed”!

Quasiparticles

Particle gets “dressed”!
There is a polarization cloud around the particle which screens its interactions with the rest of the system.

Spectral function

\[ A(\omega) \]

\[ \omega \quad \varepsilon_i \]

non-interacting
Spectral function

\[ A(\omega) \]

- non-interacting
- interacting
- quasiparticle
- satellite

\[ \omega \quad \varepsilon_i \]
Green function in the interaction representation

The self-energy may be evaluated by using Wick’s theorem or by Schwinger’s functional derivative method.

Adapted from slides by F. Aryasetiawan
Green function in the interaction representation

The self-energy may be evaluated by using Wick’s theorem or by Schwinger’s functional derivative method.
Introduce \( \phi \) an external perturbation (set to zero at the end).
Calculate variations of \( G \) with respect to \( \phi \).

Adapted from slides by F. Aryasetiawan
Green function in the interaction representation

The self-energy may be evaluated by using Wick’s theorem or by Schwinger’s functional derivative method.

Introduce \( \phi \) an external perturbation (set to zero at the end).

Calculate variations of \( G \) with respect to \( \phi \).

\[
i G(1, 2) = \frac{\langle \Psi_0 | T[\hat{S}\hat{\psi}(1)\hat{\psi}^+(2)]| \Psi_0 \rangle}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle}
\]

\[
\hat{S} = T \exp \left[ -i \int d^3 \phi(3) \hat{\rho}(3) \right], \quad 1 \equiv r_1 t_1
\]

\( \Psi_0 \) the exact ground state without perturbation: \( \hat{H}|\Psi_0\rangle = E_0|\Psi_0\rangle \)

the field operator (interaction picture) is independent of \( \phi \):

\[
\hat{\psi}(rt) = e^{i\hat{H}t}\hat{\psi}(r)e^{-i\hat{H}t}
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Adapted from slides by F. Aryasetiawan
Green function in the interaction representation

The self-energy may be evaluated by using Wick’s theorem or by Schwinger’s functional derivative method.

Introduce $\phi$ an external perturbation (set to zero at the end).

Calculate variations of $G$ with respect to $\phi$.

$$iG(1, 2) = \frac{\langle \Psi_0 | T[\hat{S}\hat{\psi}(1)\hat{\psi}^\dagger(2)]| \Psi_0 \rangle}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle}$$

$$\hat{S} = T \exp \left[ -i \int d3 \phi(3) \hat{\rho}(3) \right] \quad 1 \equiv r_1 t_1$$

$\Psi_0$ the exact ground state without perturbation: $\hat{H} | \Psi_0 \rangle = E_0 | \Psi_0 \rangle$

the field operator (interaction picture) is independent of $\phi$:

$$\hat{\psi}(rt) = e^{i\hat{H}t} \hat{\psi}(r)e^{-i\hat{H}t}$$

$$\frac{\delta \hat{S}}{\delta \phi(3)} = -i T[\hat{S}\hat{\rho}(3)]$$

Adapted from slides by F. Aryasetiawan
Equation of motion of the single particle Green function:

\[
\left( i \frac{\partial}{\partial t_1} - h_0(r_1) \right) G(1, 2) + i \int d^3 v (1 - 3) G^{(2)}(1, 2, 3, 3^+) = \delta(1 - 2)
\]

\[
h_0 = -\frac{\nabla^2}{2} + V_{\text{ext}} \quad \text{independent-particle Hamiltonian}
\]

\[
v(1 - 3) = v(r_1 - r_3)\delta(t_1 - t_3)
\]

\[
G^{(2)}(1, 2, 3, 4) = \frac{\langle \Psi_0 | T[\hat{S}\hat{\psi}(1)\hat{\psi}(3)\hat{\psi}^\dagger(4)\hat{\psi}^\dagger(2)] | \Psi_0 \rangle}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle} \quad \text{two-particle Green Function}
\]

Adapted from slides by F. Aryasetiawan
Equation of motion

Equation of motion of the single particle Green function:

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\left( i \frac{\partial}{\partial t_1} - h_0(r_1) \right) G(1, 2) + i \int d^3 v (1 - 3) G^{(2)}(1, 2, 3, 3^+) = \delta(1 - 2)
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\[h_0 = -\frac{\nabla^2}{2} + V_{\text{ext}}\]

\[v(1 - 3) = v(r_1 - r_3)\delta(t_1 - t_3)\]

\[G^{(2)}(1, 2, 3, 4) = \frac{\langle \Psi_0 | T[\hat{S} \hat{\psi}(1) \hat{\psi}(3) \hat{\psi}^\dagger(4) \hat{\psi}^\dagger(2)] | \Psi_0 \rangle}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle}\]

two-particle Green Function

Unfortunately hierarchy of equations:

\[G(1, 2) \leftarrow G^{(2)}(1, 2, 3, 4)\]

\[G^{(2)}(1, 2, 3, 4) \leftarrow G^{(3)}(1, 2, 3, 4, 5, 6)\]

\[\vdots \quad \vdots \quad \vdots \]

Adapted from slides by F. Aryasetiawan
Equation of motion

Equation of motion of the single particle Green function:

\[
\left( i \frac{\partial}{\partial t_1} - h_0(\mathbf{r}_1) \right) G(1, 2) + i \int d^3 v(1 - 3) G^{(2)}(1, 2, 3, 3^+) = \delta(1 - 2)
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\[
h_0 = -\frac{\nabla^2}{2} + V_{\text{ext}} \quad \text{independent-particle Hamiltonian}
\]

\[
v(1 - 3) = v(\mathbf{r}_1 - \mathbf{r}_3) \delta(t_1 - t_3)
\]

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G^{(2)}(1, 2, 3, 4) = \frac{\langle \Psi_0 | T[\hat{S}\hat{\psi}(1)\hat{\psi}(3)\hat{\psi}^+(4)\hat{\psi}^+(2)] | \Psi_0 \rangle}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle} \quad \text{two-particle Green Function}
\]

Define the mass operator:

\[
i \int d^3 v(1 - 3) G^{(2)}(1, 2, 3, 3^+) \equiv - \int d^3 M(1, 3) G(3, 2)
\]

\[
M = -ivG^{(2)}G^{-1}
\]

Adapted from slides by F. Aryasetiawan
Derivation of Hedin’s equations

\[
\frac{\delta G(1, 2)}{\delta \phi(3)} = \frac{-i}{\delta \phi(3)} \langle \Psi_0 | T [\hat{S} \hat{\psi}(1) \hat{\psi}^\dagger(2)] | \Psi_0 \rangle \langle \Psi_0 | \hat{S} | \Psi_0 \rangle = \langle \Psi_0 | T [\hat{S} \hat{\rho}(3) \hat{\psi}(1) \hat{\psi}^\dagger(2)] | \Psi_0 \rangle \langle \Psi_0 | \hat{S} | \Psi_0 \rangle + \langle \Psi_0 | T [\hat{S} \hat{\psi}(1) \hat{\psi}^\dagger(2)] | \Psi_0 \rangle \langle \Psi_0 | T [\hat{S} \hat{\rho}(3)] | \Psi_0 \rangle \langle \Psi_0 | \hat{S} | \Psi_0 \rangle^2 \]

\[
\delta G(1, 2) \rho(3) - \delta G(1, 2) \delta \phi(3) = \frac{-iv}{\delta \phi(3)} G(1, 2) \rho(3) - \frac{-iv}{\delta \phi(3)} \left( \delta \phi(3) G(1, 2) \rho(3) - \delta \phi(3) G(1, 2) \delta \phi(3) \right) = \text{Hartree Self Energy:} \]

\[
\Sigma = M - V_{\text{Hartree}} = -iv G(1, 2) \delta \phi(3) - \delta \phi(3) G(1, 2) \rho(3) - \delta \phi(3) G(1, 2) \delta \phi(3)
\]

Adapted from slides by F. Aryasetiawan
Derivation of Hedin’s equations

\[ \frac{\delta G(1, 2)}{\delta \phi(3)} = -i \frac{\delta}{\delta \phi(3)} \frac{\langle \Psi_0 | T[\hat{S} \hat{\psi}(1) \hat{\psi}^\dagger(2)] | \Psi_0 \rangle}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle} \]

But,

\[ \frac{\delta G(1, 2)}{\delta \phi} = -G \frac{\delta}{\delta \phi} - \frac{1}{G} \delta \phi \]

\[ \delta G(1, 2) = \delta G(1, 2) \rho(3) - \delta G(1, 2) \delta \phi(3) \]

\[ \delta G(1, 2) = -iv \left[ G - 1 \right] \]

\[ G(1, 2) = \delta \phi \]

\[ \Sigma = M - V_{\text{Hartree}} = -iv G \delta G - 1 \delta \phi \]

Adapted from slides by F. Aryasetiawan
Derivation of Hedin's equations

\[
\frac{\delta G(1, 2)}{\delta \phi(3)} = -i \frac{\delta}{\delta \phi(3)} \frac{\langle \Psi_0 | T[\hat{S} \hat{\psi}(1) \hat{\psi}^\dagger(2)] | \Psi_0 \rangle}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle} \\
= -\frac{\langle \Psi_0 | T[\hat{S} \rho(3) \hat{\psi}(1) \hat{\psi}^\dagger(2)] | \Psi_0 \rangle}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle} + \frac{\langle \Psi_0 | T[\hat{S} \rho(3)] | \Psi_0 \rangle^2}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle^2}
\]

But,

\[
\delta G \delta \phi = -G \delta G - \frac{1}{G} \delta \phi = -i v \left( G \delta G - \frac{1}{G} \delta \phi \right)
\]

\[
M = -iv \left[ \rho - G \delta G - \frac{1}{G} \delta \phi \right] = V_{\text{Hartree}}
\]

Self Energy:

\[
\Sigma = M - V_{\text{Hartree}} = -iv G \delta G - \frac{1}{G} \delta \phi
\]

Adapted from slides by F. Aryasetiawan
Derivation of Hedin’s equations

\[ \frac{\delta G(1, 2)}{\delta \phi(3)} = -i \frac{\delta}{\delta \phi(3)} \frac{\langle \Psi_0 | T[\hat{S}\hat{\psi}(1)\hat{\psi}^\dagger(2)] | \Psi_0 \rangle}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle} \]

\[ = - \frac{\langle \Psi_0 | T[\hat{S}\rho(3)\hat{\psi}(1)\hat{\psi}^\dagger(2)] | \Psi_0 \rangle}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle} + \frac{\langle \Psi_0 | T[\hat{S}\hat{\psi}(1)\hat{\psi}^\dagger(2)] | \Psi_0 \rangle \langle \Psi_0 | T[\hat{S}\rho(3)] | \Psi_0 \rangle}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle^2} \]

\[ G^{(2)}(1, 2, 3, 3^+) = G(1, 2)\rho(3) - \frac{\delta G(1, 2)}{\delta \phi(3)} \]

Adapted from slides by F. Aryasetiawan
Derivation of Hedin’s equations

\[ \frac{\delta G(1, 2)}{\delta \phi(3)} = -i \frac{\delta}{\delta \phi(3)} \frac{\langle \Psi_0|T[\hat{S}\psi(1)\psi^\dagger(2)]|\Psi_0 \rangle}{\langle \Psi_0|\hat{S}|\Psi_0 \rangle} \]

\[ = -\frac{\langle \Psi_0|T[\hat{S}\rho(3)\psi(1)\psi^\dagger(2)]|\Psi_0 \rangle}{\langle \Psi_0|\hat{S}|\Psi_0 \rangle} + \frac{\langle \Psi_0|T[\hat{S}\psi(1)\psi^\dagger(2)]|\Psi_0 \rangle \langle \Psi_0|T[\hat{S}\rho(3)]|\Psi_0 \rangle}{\langle \Psi_0|\hat{S}|\Psi_0 \rangle^2} \]

\[ G^{(2)}(1, 2, 3, 3^+) = G(1, 2)\rho(3) - \frac{\delta G(1, 2)}{\delta \phi(3)} \]

But, \[ \frac{\delta G}{\delta \phi} = -G \frac{\delta G^{-1}}{\delta \phi} G \]

Adapted from slides by F. Aryasetiawan
Derivation of Hedin’s equations

\[
\frac{\delta G(1, 2)}{\delta \phi(3)} = -i \delta \frac{\delta}{\delta \phi(3)} \frac{\langle \Psi_0 | T[\hat{S}\hat{\psi}(1)\hat{\psi}^\dagger(2)] | \Psi_0 \rangle}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle}
\]

\[
= -\frac{\langle \Psi_0 | T[\hat{S}\rho(3)\hat{\psi}(1)\hat{\psi}^\dagger(2)] | \Psi_0 \rangle}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle} + \frac{\langle \Psi_0 | T[\hat{S}\hat{\psi}(1)\hat{\psi}^\dagger(2)] | \Psi_0 \rangle \langle \Psi_0 | T[\hat{S}\rho(3)] | \Psi_0 \rangle}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle^2}
\]

\[
G^{(2)}(1, 2, 3, 3^+) = G(1, 2)\rho(3) - \frac{\delta G(1, 2)}{\delta \phi(3)}
\]

But, \( \frac{\delta G}{\delta \phi} = -G \frac{\delta G^{-1}}{\delta \phi} G \)

\[
M = -ivG^{(2)}G^{-1} = -iv\left[\rho - \frac{\delta G}{\delta \phi}G^{-1}\right] = V_{\text{Hartree}} - ivG \frac{\delta G^{-1}}{\delta \phi}
\]

Adapted from slides by F. Aryasetiawan
Derivation of Hedin's equations

\[
\frac{\delta G(1, 2)}{\delta \phi(3)} = -i \frac{\delta}{\delta \phi(3)} \frac{\langle \Psi_0 | T[\hat{S}\hat{\psi}(1)\hat{\psi}^\dagger(2)] | \Psi_0 \rangle}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle} \\
= -\frac{\langle \Psi_0 | T[\hat{S}\hat{\rho}(3)\hat{\psi}(1)\hat{\psi}^\dagger(2)] | \Psi_0 \rangle}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle} + \frac{\langle \Psi_0 | T[\hat{S}\hat{\psi}(1)\hat{\psi}^\dagger(2)] | \Psi_0 \rangle \langle \Psi_0 | T[\hat{S}\hat{\rho}(3)] | \Psi_0 \rangle}{\langle \Psi_0 | \hat{S} | \Psi_0 \rangle^2}
\]

\[
G^{(2)}(1, 2, 3, 3^+) = G(1, 2)\rho(3) - \frac{\delta G(1, 2)}{\delta \phi(3)}
\]

But, \( \frac{\delta G}{\delta \phi} = -G \frac{\delta G^{-1}}{\delta \phi} G \)

\[
M = -ivG^{(2)}G^{-1} = -iv\left[\rho - \frac{\delta G}{\delta \phi}G^{-1}\right] = V_{\text{Hartree}} - ivG \frac{\delta G^{-1}}{\delta \phi}
\]

Self Energy: \( \Sigma = M - V_{\text{Hartree}} = -ivG \frac{\delta G^{-1}}{\delta \phi} \)

Adapted from slides by F. Aryasetiawan
Derivation of Hedin’s equations

$$\Sigma(1, 2) = -i \int d3d4 \nu(1 - 3)G(1, 4) \frac{\delta G^{-1}(4, 2)}{\delta \phi(3)}$$
Derivation of Hedin’s equations

\[ \Sigma(1, 2) = -i \int d3d4 \, v(1 - 3)G(1, 4) \frac{\delta G^{-1}(4, 2)}{\delta \phi(3)} \]

\[ v \frac{\delta G^{-1}}{\delta \phi} = v \frac{\delta V}{\delta \phi} \frac{\delta G^{-1}}{\delta V} = v \epsilon^{-1} \frac{\delta G^{-1}}{\delta V} = W \frac{\delta G^{-1}}{\delta V} \quad V = V_{\text{Hartree}} + \phi \]
Derivation of Hedin’s equations

\[ \Sigma(1, 2) = -i \int d3d4 \, v(1 - 3)G(1, 4) \frac{\delta G^{-1}(4, 2)}{\delta \phi(3)} \]

\[ v \frac{\delta G^{-1}}{\delta \phi} = v \frac{\delta V}{\delta \phi} \frac{\delta G^{-1}}{\delta V} = v \epsilon^{-1} \frac{\delta G^{-1}}{\delta V} = W \frac{\delta G^{-1}}{\delta V} \]

\[ V = V_{\text{Hartree}} + \phi \]

\[ \Sigma(1, 2) = -i \int d3d4 \, W(1, 3)G(1, 4) \frac{\delta G^{-1}(4, 2)}{\delta V(3)} \]
Derivation of Hedin's equations

\[ \Sigma(1, 2) = -i \int d3d4 \, v(1 - 3)G(1, 4) \frac{\delta G^{-1}(4, 2)}{\delta \phi(3)} \]

\[ v \frac{\delta G^{-1}}{\delta \phi} = v \frac{\delta V \delta G^{-1}}{\delta \phi} = v \varepsilon^{-1} \frac{\delta G^{-1}}{\delta V} = W \frac{\delta G^{-1}}{\delta V} \quad V = V_{\text{Hartree}} + \phi \]

\[ \Sigma(1, 2) = -i \int d3d4 \, W(1, 3)G(1, 4) \frac{\delta G^{-1}(4, 2)}{\delta V(3)} \]

\[ \Sigma(1, 2) = -i \int d3d4 \, W(1, 3)G(1, 4)\Gamma(4, 2, 3) \]

Vertex \quad \Gamma(4, 2, 3) = - \frac{\delta G^{-1}(4, 2)}{\delta V(3)}
Derivation of Hedin’s equations

\[
\Sigma(1, 2) = -i \int d3d4 \, v(1 - 3) G(1, 4) \frac{\delta G^{-1}(4, 2)}{\delta \phi(3)}
\]

\[
v \frac{\delta G^{-1}}{\delta \phi} = v \frac{\delta V}{\delta \phi} \frac{\delta G^{-1}}{\delta V} = v \epsilon^{-1} \frac{\delta G^{-1}}{\delta V} = W \frac{\delta G^{-1}}{\delta V}
\]

\[
V = V_{\text{Hartree}} + \phi
\]

\[
\Sigma(1, 2) = -i \int d3d4 \, W(1, 3) G(1, 4) \frac{\delta G^{-1}(4, 2)}{\delta V(3)}
\]

\[
\Sigma(1, 2) = -i \int d3d4 \, W(1, 3) G(1, 4) \Gamma(4, 2, 3)
\]

Vertex

\[
\Gamma(4, 2, 3) = -\frac{\delta G^{-1}(4, 2)}{\delta V(3)}
\]

From equation of motion

\[
G^{-1} = i \frac{\partial}{\partial t} - \hbar_0 - V_{\text{Hartree}} - \phi - \Sigma
\]

\[
\implies \Gamma = -\frac{\delta G^{-1}}{\delta V} = [1 + \frac{\delta \Sigma}{\delta V}]
\]

Adapted from slides by F. Aryasetiawan
Derivation of Hedin’s equations

\[ \Gamma = 1 + \frac{\delta \Sigma}{\delta V} = 1 + \frac{\delta \Sigma}{\delta G} \frac{\delta G}{\delta V} = 1 - \frac{\delta \Sigma}{\delta G} G \frac{\delta G^{-1}}{\delta V} G = 1 + \frac{\delta \Sigma}{\delta G} G \Gamma G \]
Derivation of Hedin’s equations

\[
\Gamma = 1 + \frac{\delta \Sigma}{\delta V} = 1 + \frac{\delta \Sigma}{\delta G} \frac{\delta G}{\delta V} = 1 - \frac{\delta \Sigma}{\delta G} G \frac{\delta G^{-1}}{\delta V} G = 1 + \frac{\delta \Sigma}{\delta G} G \Gamma G
\]

\[
\Gamma = 1 + \frac{\delta \Sigma}{\delta G} G G \Gamma
\]

Adapted from slides by F. Aryasetiawan
Derivation of Hedin’s equations

\[ \Gamma = 1 + \frac{\delta \Sigma}{\delta V} = 1 + \frac{\delta \Sigma}{\delta G} \frac{\delta G}{\delta V} = 1 - \frac{\delta \Sigma}{\delta G} \frac{\delta G^{-1}}{\delta V} G = 1 + \frac{\delta \Sigma}{\delta G} G \Gamma G \]

\[ \Gamma = 1 + \frac{\delta \Sigma}{\delta G} G G \Gamma \]

Polarization

\[ P = \frac{\delta \rho}{\delta V} = -i \frac{\delta G}{\delta V} = i G \frac{\delta G^{-1}}{\delta V} G = i G \Gamma G \]

Adapted from slides by F. Aryasetiawan
Derivation of Hedin’s equations

\[ \Gamma = 1 + \frac{\delta \Sigma}{\delta V} = 1 + \frac{\delta \Sigma}{\delta G} \frac{\delta G}{\delta V} = 1 - \frac{\delta \Sigma}{\delta G} \frac{\delta G^{-1}}{\delta V} G = 1 + \frac{\delta \Sigma}{\delta G} G \Gamma G \]

\[ \Gamma = 1 + \frac{\delta \Sigma}{\delta G} G G \Gamma \]

**Polarization**

\[ P = \frac{\delta \rho}{\delta V} = -i \frac{\delta G}{\delta V} = i G \frac{\delta G^{-1}}{\delta V} G = i G \Gamma G \]

**Screened interaction**

\[ W = \epsilon^{-1} v = \frac{\delta V}{\delta \phi} v = \left[ 1 + \frac{\delta V_{\text{Hartree}}}{\delta \phi} \right] v = \left[ 1 + v \frac{\delta \rho}{\delta \phi} \right] v \]

\[ = \left[ 1 + v \frac{\delta \rho}{\delta V} \frac{\delta V}{\delta \phi} \right] v = \left[ 1 + v \frac{\delta \rho}{\delta V} \epsilon^{-1} \right] v = v + vPW \]

Adapted from slides by F. Aryasetiawan
Derivation of Hedin’s equations

\[
\Gamma = 1 + \frac{\delta \Sigma}{\delta V} = 1 + \frac{\delta \Sigma \delta G}{\delta G \delta V} = 1 - \frac{\delta \Sigma}{\delta G} G \frac{\delta G^{-1}}{\delta V} G = 1 + \frac{\delta \Sigma}{\delta G} G \Gamma G
\]

\[
\Gamma = 1 + \frac{\delta \Sigma}{\delta G} G G \Gamma
\]

Polarization \[ P = \frac{\delta \rho}{\delta V} = -i \frac{\delta G}{\delta V} = i G \frac{\delta G^{-1}}{\delta V} G = i G \Gamma G \]

Screened interaction \[ W = \epsilon^{-1} v = \frac{\delta V}{\delta \phi} v = \left[ 1 + \frac{\delta V_{\text{Hartree}}}{\delta \phi} \right] v = \left[ 1 + v \frac{\delta \rho}{\delta \phi} \right] v \]
\[ = \left[ 1 + v \frac{\delta \rho}{\delta V} \frac{\delta V}{\delta \phi} \right] v = \left[ 1 + v \frac{\delta \rho}{\delta V} \epsilon^{-1} \right] v = v + v PW \]
\[ W = v + v PW \]

Adapted from slides by F. Aryasetiawan
Hedin’s equations

\[
\Gamma(123) = \delta(12)\delta(13) + \int d(4567) \frac{\delta\Sigma(12)}{\delta G(45)} G(46)G(47)\Gamma(673)
\]

\[\begin{align*}
G &= G_0 + G_0\Sigma G \\
P(12) &= -i \int d(34) G(23)G(42)\Gamma(341) \\
W &= v + vPW \\
\Sigma(12) &= i \int d(34) G(14)W(1^+3)\Gamma(423)
\end{align*}\]
Hedin’s equations

Start iteration

\[ \Sigma = 0 \implies G = G_0 \quad \text{(Hartree Approximation)} \]
\[ \Gamma(123) = \delta(12)\delta(13) \]
\[ P_0(12) = -iG_0(21)G_0(12) \]
\[ \implies W_0 = v + vP_0W_0 \]
\[ \Sigma(12) = iG(12)W(1^+2) \]

- Can show that if you iterate then you will get the same diagrammatic expansion as with Wick’s theorem.
- Wick’s theorem diagrams are of the same order – while here they come in different orders together.
Diagrammatic expansion in $W$

Fig. 1. Diagrams representing the expansion of $M(1,2)$. The one-particle Green's function $G(1,2)$ is represented by an arrow from 2 to 1, and the screened potential $W(1,2)$ by a wiggly line between 1 and 2.

Fig. 2. Diagrams representing the expansion of $P(1,2)$.

Lars Hedin, Phys. Rev. 139, A796 (1965)
Physical interpretation – Coulomb hole + screened exchange

\[ \Sigma = \Sigma_{\text{SEX}} + \Sigma_{\text{COH}} \]

screened exchange + Coulomb hole

\[ \Sigma_{\text{SEX}}(r, r', \omega) = - \sum_n^{\text{occ.}} \psi_n(r)\psi_n^*(r') \text{Re} W(r, r', \omega - \epsilon_n) \]

\[ \Sigma_{\text{COH}}(r, r', \omega) = \sum_n^{\text{all}} \psi_n(r)\psi_n^*(r') \int_0^\infty d\omega' \frac{\text{Im} W(r, r', \omega')}{\omega - \epsilon_n - \omega'} \]
Static limit – Coulomb hole + screened exchange

\[ \Sigma = \Sigma_{\text{SEX}} + \Sigma_{\text{COH}} \]

Coulomb Hole (COH)
Interaction of electrons with excitations (electron-hole, plasmons) \textit{i.e. screening charge}

Screened Exchange (SEX)
Dynamically screened exchange (replacement \( v \) with \( W \))
Static limit – Coulomb hole + screened exchange

\[ \Sigma = \Sigma_{\text{SEX}} + \Sigma_{\text{COH}} \]

\[ \Sigma_{\text{SEX}}(r, r') = - \sum_{n} \psi_n(r) \psi_n^*(r') W(r, r') \]

\[ \Sigma_{\text{COH}}(r, r') = \frac{1}{2} [W(r, r') - v(r, r')] \delta(r - r') \]

**Coulomb Hole (COH)**
Interaction of electrons with excitations (electron-hole, plasmons) *i.e. screening charge*

**Screened Exchange (SEX)**
Dynamically screened exchange (replacement \( v \) with \( W \))
Actual implementation

Quasiparticle equation:

\[
\left(-\frac{\nabla^2}{2} + V_{\text{ionic}}(r) + V_{\text{Hartree}}(r)\right)\phi_i(r) + \int \Sigma(r, r', E_{\text{QP}})\phi_i(r') = E_{\text{QP}}\phi_i(r)
\]

Kohn-Sham equation:

\[
\left(-\frac{\nabla^2}{2} + V_{\text{ionic}}(r) + V_{\text{Hartree}}(r) + V_{\text{xc}}(r)\right)\psi_i(r) = E_{\text{DFT}}\psi_i(r)
\]
Quasiparticle equation:

\[
\left( -\nabla^2 + V_{\text{ionic}}(r) + V_{\text{Hartree}}(r) \right) \phi_i(r) + \int \Sigma(r, r', E_{QP}) \phi_i(r') = E_{QP} \phi_i(r)
\]

Kohn-Sham equation:

\[
\left( -\nabla^2 + V_{\text{ionic}}(r) + V_{\text{Hartree}}(r) + V_{\text{xc}}(r) \right) \psi_i(r) = E_{\text{DFT}} \psi_i(r)
\]

Assume \( \phi_i(r) \approx \psi_i(r) \)
Actual implementation

Quasiparticle equation:

\[
\left( -\frac{\nabla^2}{2} + V_{\text{ionic}}(\mathbf{r}) + V_{\text{Hartree}}(\mathbf{r}) \right) \phi_i(\mathbf{r}) + \int \Sigma(\mathbf{r}, \mathbf{r}', E_{\text{QP}}) \phi_i(\mathbf{r}') = E_{\text{QP}} \phi_i(\mathbf{r})
\]

Kohn-Sham equation:

\[
\left( -\frac{\nabla^2}{2} + V_{\text{ionic}}(\mathbf{r}) + V_{\text{Hartree}}(\mathbf{r}) + V_{\text{xc}}(\mathbf{r}) \right) \psi_i(\mathbf{r}) = E_{\text{DFT}} \psi_i(\mathbf{r})
\]

Assume \( \phi_i(\mathbf{r}) \approx \psi_i(\mathbf{r}) \)

\[
E_{\text{QP}} = E_{\text{DFT}} + \langle \psi_i(\mathbf{r}) | \Sigma - V_{\text{xc}} | \psi_i(\mathbf{r}) \rangle
\]
Actual implementation

Best G, Best W approach

\[
(H_0^{\text{DFT}} + V_{xc}^{\text{DFT}})\psi^{\text{DFT}} = \epsilon^{\text{DFT}}\psi^{\text{DFT}}
\]
Best $G$, Best $W$ approach

\[
(H_0^{\text{DFT}} + V_{xc}^{\text{DFT}})\psi^{\text{DFT}} = \epsilon^{\text{DFT}}\psi^{\text{DFT}}
\]

\[
\chi_0(12) = -iG_{\text{DFT}}(12)G_{\text{DFT}}(21)
\]
Best G, Best W approach

\[(H_0^{\text{DFT}} + V_{xc}^{\text{DFT}})\psi^{\text{DFT}} = \epsilon^{\text{DFT}}\psi^{\text{DFT}}\]

\[\chi_0(12) = -iG_{\text{DFT}}(12)G_{\text{DFT}}(21)\]

\[\Sigma_{GW}(12) = iG_{\text{DFT}}(12)W_{\text{DFT}}(1^+2)\]
**Actual implementation**

**Best G, Best W approach**

\[
(H_0^{\text{DFT}} + V_{xc}^{\text{DFT}})\psi^{\text{DFT}} = \epsilon^{\text{DFT}}\psi^{\text{DFT}}
\]

\[
\chi_0(12) = -iG^{\text{DFT}}(12)G^{\text{DFT}}(21)
\]

\[
\Sigma_{GW}(12) = iG^{\text{DFT}}(12)W^{\text{DFT}}(1^+2)
\]

\[
\epsilon^{\text{QP}} = \epsilon^{\text{DFT}} + \langle \psi^{\text{DFT}} | \Sigma_{GW} - V_{xc}^{\text{DFT}} | \psi^{\text{DFT}} \rangle
\]
Excited-state properties – molecules

Figure 5.3: Calculated IPs for the 32 molecules listed in Table 5.1 plotted against the experimental values. The dashed line indicates equality.
Materials:
InSb, InAs, Ge, GaSb, Si, InP, GaAs, CdS, AlSb, AlAs, CdSe, CdTe, BP, SiC, C$_6$O, GaP, AlP, ZnTe, ZnSe, c-GaN, w-GaN, InS, w-BN, c-BN, diamond, w-AlN, LiCl, Fluorite, LiF

Quasiparticle Gap$^1$

Optical absorption$^2$


Excited-state properties – solids

Materials:
InSb, InAs, Ge, GaSb, Si, InP, GaAs, CdS, AISb, AlAs, CdSe, CdTe, BP, SiC, C₆₀, GaP, AlP, ZnTe, ZnSe, c-GaN, w-GaN, InS, w-BN, c-BN, diamond, w-AlN, LiCl, Fluorite, LiF

Quasiparticle Gap

Optical absorption

Excited-state properties – Code

BerkeleyGW

http://www.berkeleygw.org

References